

# QUESTIONS FOR FINANCIAL ADVISERS



## Qualifications:

- What are your qualifications?
- Can I see a copy of your certificates, licences and authorisations?
- What financial products can you sell?
- How many years' experience do you have providing financial advice?
- Do you work for a Financial Services Provider (FSP), and what is their licence number?
- Are you working under supervision?
- Who takes responsibility for the financial advice you give me?

## Services:

- What other financial services do you offer?
- Will you give me quotes from one company, or will you give me quotes from many companies?
- How will you explain to me that the financial products you recommended are right for me?
- What will your financial advice services cost me, and how will I pay you for them?
- What is the financial advice process that we will go through?
- Will you be the only financial adviser who works with me?
- What if I have a question after you have sold me a financial product, who do I contact?
- Will you keep in contact with me after I buy a financial solution?

